

List of Patents and Publications for Information Disclosure Statement

Applicant(s): David J. Tyrrell et al.
 Serial No.: 09/746,888
 Filed: December 22, 2000

Docket No.: 16,497
 Group: 3761
 Examiner: J. Webb

U.S. Patent Documents							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A1						
	A2						
	A3						
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Foreign Patent Documents								
Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
							Yes	No
<i>td</i>	A1	WO 98/55159 A2	12/1998	World - PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A2						<input type="checkbox"/>	<input type="checkbox"/>
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	A5						<input type="checkbox"/>	<input type="checkbox"/>
	A6						<input type="checkbox"/>	<input type="checkbox"/>

Other Documents		
Initials		Author, Title, Date, Pages, etc.
	A1	
	A2	
	A3	
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	A5	
	A6	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
<i>AW</i>	A1	US 2001/0006666 A1	07/2001	Harbeck			
<i>AW</i>	A2	4,760,096	07/1988	Sakai et al.			
<i>AW</i>	A3	4,960,592	10/1990	Hagen et al.			
<i>AW</i>	A4	5,384,125	01/1995	DiPippo et al.			
<i>AW</i>	A5	5,525,346	06/1996	Hartung et al.			
<i>AW</i>	A6	5,855,897	01/1999	Pelle			
<i>AW</i>	A7	5,871,763	02/1999	Luu et al.			
<i>AW</i>	A8	5,945,110	08/1999	Vianen et al.			
<i>AW</i>	A9	5,951,990	09/1999	Ptchelintsev			
<i>AW</i>	A10	5,989,577	11/1999	Hoath et al.			
<i>AW</i>	A11	US 6,217,890 B1	04/2001	Paul et al.			
<i>AW</i>	A12	US 6,287,581 B1	09/2001	Krzysik et al.			
<i>AW</i>	A13	US 6,294,186 B1	09/2001	Beerse et al.			
<i>AW</i>	A14	US 6,344,218 B1	02/2002	Dodd et al.			

Foreign Patent Documents								
Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
							Yes	No
<i>AW</i>	A1	GB 2311727 A	10/1997	Great Britain			<input type="checkbox"/>	<input type="checkbox"/>
<i>AW</i>	A2	WO 99/62478 A1	12/1999	PCT - World Eng. abstr.	<i>ABSTRACT ONLY</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A3						<input type="checkbox"/>	<input type="checkbox"/>
	A4						<input type="checkbox"/>	<input type="checkbox"/>
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	A6						<input type="checkbox"/>	<input type="checkbox"/>
	A7						<input type="checkbox"/>	<input type="checkbox"/>

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<i>JW</i>	A1	3,305,392	02/1967	Britt			
<i>JW</i>	A2	3,585,998	06/1971	Hayford et al.			
<i>JW</i>	A3	3,814,101	06/1974	Kozak			
<i>JW</i>	A4	3,881,488	05/1975	Delanty et al.			
<i>JW</i>	A5	4,040,857	08/1977	Lissant			
<i>JW</i>	A6	4,100,324	07/1978	Anderson et al.			
<i>JW</i>	A7	4,164,563	08/1979	Chang			
<i>JW</i>	A8	4,273,786	06/1981	Kraskin			
<i>JW</i>	A9	4,343,783	08/1982	Hooper et al.			
<i>JW</i>	A10	4,355,020	10/1982	Kuy			
<i>JW</i>	A11	4,355,046	10/1982	Suess			
<i>JW</i>	A12	4,604,313	08/1986	McFarland et al.			
<i>JW</i>	A13	4,613,447	09/1986	Hara et al.			
<i>JW</i>	A14	4,623,339	11/1986	Ciraldo et al.			
<i>JW</i>	A15	4,634,438	01/1987	Sustmann et al.			
<i>JW</i>	A16	4,634,439	01/1987	Sustmann et al.			
<i>JW</i>	A17	4,637,820	01/1987	Marini et al.			
<i>JW</i>	A18	4,655,756	04/1987	Fawkes			
<i>JW</i>	A19	4,675,014	06/1987	Sustmann et al.			
<i>JW</i>	A20	4,685,909	08/1987	Berg et al.			
<i>JW</i>	A21	4,711,780	12/1987	Fahim			
<i>JW</i>	A22	4,732,797	03/1988	Johnson et al.			
<i>JW</i>	A23	4,738,678	04/1988	Paulis			
<i>JW</i>	A24	4,753,643	06/1988	Kassai			
<i>JW</i>	A25	4,753,647	06/1988	Curtis			
<i>JW</i>	A26	4,772,501	09/1988	Johnson et al.			
<i>JW</i>	A27	4,790,836	12/1988	Brecher			
<i>JW</i>	A28	4,790,840	12/1988	Cortina			
<i>JW</i>	A29	4,808,175	02/1989	Hansen			
<i>JW</i>	A30	4,861,405	08/1989	Kassai			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
<i>[Handwritten]</i>	A31	4,882,204	11/1989	Tenenbaum			
<i>[Handwritten]</i>	A32	4,911,932	03/1990	Clum et al.			
<i>[Handwritten]</i>	A33	4,931,052	06/1990	Feldman			
<i>[Handwritten]</i>	A34	4,978,534	12/1990	Saitoh			
<i>[Handwritten]</i>	A35	4,990,144	02/1991	Blott			
<i>[Handwritten]</i>	A36	4,996,238	02/1991	Matravers			
<i>[Handwritten]</i>	A37	5,043,155	08/1991	Puchalski et al.			
<i>[Handwritten]</i>	A38	5,049,440	09/1991	Bornhoeft, III et al.			
<i>[Handwritten]</i>	A39	5,110,593	05/1992	Benford			
<i>[Handwritten]</i>	A40	5,139,790	08/1992	Snipes			
<i>[Handwritten]</i>	A41	5,141,803	08/1992	Pregozen			
<i>[Handwritten]</i>	A42	5,192,277	03/1993	Chung et al.			
<i>[Handwritten]</i>	A43	5,194,261	03/1993	Pichierri			
<i>[Handwritten]</i>	A44	5,232,691	08/1993	Lemole			
<i>[Handwritten]</i>	A45	5,244,668	09/1993	Snipes			
<i>[Handwritten]</i>	A46	5,336,212	08/1994	De Francesco			
<i>[Handwritten]</i>	A47	5,336,692	08/1994	Gans et al.			
<i>[Handwritten]</i>	A48	5,350,624	09/1994	Georger et al.			
<i>[Handwritten]</i>	A49	5,362,488	11/1994	Sibley et al.			
<i>[Handwritten]</i>	A50	5,436,007	07/1995	Hartung et al.			
<i>[Handwritten]</i>	A51	5,496,298	03/1996	Kuepper et al.			
<i>[Handwritten]</i>	A52	5,533,990	07/1996	Yeo			
<i>[Handwritten]</i>	A53	5,569,230	10/1996	Fisher			
<i>[Handwritten]</i>	A54	5,578,310	11/1996	M'Timkulu et al.			
<i>[Handwritten]</i>	A55	5,605,749	02/1997	Pike et al.			
<i>[Handwritten]</i>	A56	5,607,980	03/1997	McAtee et al.			
<i>[Handwritten]</i>	A57	5,609,587	03/1997	Roe			
<i>[Handwritten]</i>	A58	5,618,529	04/1997	Pichierri			
<i>[Handwritten]</i>	A59	5,618,850	04/1997	Coury et al.			
<i>[Handwritten]</i>	A60	5,658,559	08/1997	Smith			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date	
<i>[initials]</i>	A61	5,665,368	09/1997	Lentini et al.				
<i>[initials]</i>	A62	5,693,037	12/1997	Lee et al.				
<i>[initials]</i>	A63	5,738,859	04/1998	Posner				
<i>[initials]</i>	A64	5,830,487	11/1998	Klofta et al.				
<i>[initials]</i>	A65	5,849,314	12/1998	Dobkowski et al.				
<i>[initials]</i>	A66	5,855,999	01/1999	McCormack				
<i>[initials]</i>	A67	5,856,245	01/1999	Caldwell et al.				
<i>[initials]</i>	A68	5,869,172	02/1999	Caldwell				
<i>[initials]</i>	A69	6,004,566	12/1999	Friedman et al.				
<i>[initials]</i>	A70	6,136,332	10/2000	Grollier et al.				
<i>[initials]</i>	A71	6,156,024	12/2000	Schulte et al.				
<i>[initials]</i>	A72	US 6,183,766 B1	02/2001	Sine et al.				
<i>[initials]</i>	A73	US 6,309,736 B1	10/2001	McCormack et al.				

Foreign Patent Documents								
Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
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<i>[initials]</i>	A1	DE 41 36 540 A1	05/1992	Germany – Eng. abstract	Abstract Only		<input type="checkbox"/>	<input checked="" type="checkbox"/>
<i>[initials]</i>	A2	EP 0 797 968 A1	10/1997	Europe			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A3	EP 0 808 151 B1	08/2001	Europe			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A4	EP 0 875 233 A1	11/1998	Europe			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A5	EP 1 057 476 A1	12/2000	Europe			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A6	GB 880,276	10/1961	Great Britain			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A7	GB 884,688	12/1961	Great Britain			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A8	GB 2 033 751 A	05/1980	Great Britain			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A9	WO 90/12555 A1	11/1990	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A10	WO 92/09289 A1	06/1992	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A11	WO 93/16670 A1	09/1993	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A12	WO 93/21878 A1	11/1993	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A13	WO 94/09757 A1	05/1994	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>
<i>[initials]</i>	A14	WO 94/09796 A1	05/1994	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>

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Examiner: *[Signature]*Date Considered: *9/24/02*



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Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
							Yes	No
EW	A15	WO 95/19190 A1	07/1995	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A16	WO 96/16681 A1	06/1996	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A17	WO 96/16682 A1	06/1996	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A18	WO 97/05908 A2	02/1997	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A19	WO 97/05909 A2	02/1997	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A20	WO 97/38735 A1	10/1997	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A21	WO 97/38738 A1	10/1997	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A22	WO 98/00858 A1	01/1998	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A23	WO 99/12530 A1	03/1999	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A24	WO 99/13861 A1	03/1999	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A25	WO 99/62478 A1	12/1999	PCT - World - Eng. abst.			<input type="checkbox"/>	<input checked="" type="checkbox"/>
EW	A26	WO 00/38747 A2	07/2000	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A27	WO 00/69482 A1	11/2000	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A28	WO 00/69483 A1	11/2000	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
EW	A29	WO 00/69484 A1	11/2000	PCT - World			<input type="checkbox"/>	<input type="checkbox"/>
Other Documents								
Initials		Author, Title, Date, Pages, etc.						
EW	A1	Patent Abstracts of Japan 02043265 A: Description of Masaru et al. , "THIXOTROPIC SEMISOLID COMPOSITION."						
EW	A2	Patent Abstracts of Japan 02053709 A: Description of Masaru et al. , "THIXOTROPIC SEMI-SOLID COMPOSITION."						
EW	A3	Patent Abstracts of Japan 06065104 A: Description of Michio, "POWDER COMPOSITION FOR DERMATIC APPLICATION PREVENTED FROM SCATTERABILITY."						
EW	A4	Patent Abstracts of Japan 07267839 A: Description of Yoshiko et al. , "OINTMENT COMPOSITION ADHESIVE TO ORAL MUCOSA."						
EW	A5	Patent Abstracts of Japan 09151112 A: Description of Yasuhiro et al. , "MICROEMULSION COMPOSITION."						

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Examiner: *James Webb*

Date Considered: *9-26-02*



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Other Documents		
Initials		Author, Title, Date, Pages, etc.
	A6	Patent Abstracts of Japan 10306039 A: Description of Christine et al. , "SOLID TOPICAL AQUEOUS COMPOSITION CAPABLE OF FORMING FILM, WHEN APPLIED, AND HAVING GEL APPEARANCE."
	A7	Patent Abstracts of Japan 55025430 A: Description of Mikio et al. , "THICKENING AND GELLING AGENT."
	A8	Patent Abstracts of Japan 56110611 A: Description of Mitsue et al. , "PREPARATION OF OINTMENT EMBROCAION FOR SKIN."
	A9	Patent Abstracts of Japan 59053409 A: Description of Susumu et al. , "BASE COMPOSITION AND PHARMACEUTICAL COMPOSITION FOR EXTERNAL USE."
	A10	Patent Abstracts of Japan 59122420 A: Description of Katsuo et al. , "LOCAL OINTMENT."
	A11	Patent Abstracts of Japan 59227816 A: Description of Kenji et al. , "SKIN CLEANING AND WIPING AGENT COMPOSITION."
	A12	Patent Abstracts of Japan 60006759 A: Description of Tadashi et al. , "WATER-DISPERSIBLE RESIN FOR CATAPLASM."
	A13	Patent Abstracts of Japan 61129117 A: Description of Sakahito et al. , "ALOE-CONTAINING CATAPLASM."
	A14	Patent Abstracts of Japan 61194014 A: Description of Hiroshi et al. , "HYDROPHILIC BASE."
	A15	Patent Abstracts of Japan 63264413 A: Description of Kazuo, "GABEXATE MESYLATE OINTMENT."
	A16	American Society for Testing Materials (ASTM) Designation: D 1321-92, "Standard Test Method for Needle Penetration of Petroleum Waxes," pp. 483-485, published December 1992.
	A17	American Society for Testing Materials (ASTM) Designation: D 3236-88, "Standard Test Method for Apparent Viscosity of Hot Melt Adhesives and Coating Materials," pp. 326-331, published December 1988.
	A18	Federal Test Method Standard (FTMS) No. 191A, Method 5514, "Water Resistance of Cloth; Low Range, Hydrostatic Pressure Method," July 20, 1978, 3 pages.

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EW	A19	Federal Test Method Standard (FTMS) No. 191A, Method 5450, "Permeability To Air; Cloth; Calibrated Orifice Method," July 20, 1978, 5 pages.
SD	A20	"Blue Moon Salves and Balms," Blue Moon Soaps: Herbal, Natural Bath Supplies and Gifts, Internet web page, "http://www.bluemoonsoaps.com/balm.html", viewed and printed February 28, 2002, 2 pages.
SD	A21	"Snow Balm," Snow Pharmaceuticals, LLC, Internet web page, "http://www.snowbalm.com/intro.htm", viewed and printed February 28, 2002, 3 pages.
EW	A22	"The Arbonne Baby Care," The White Whale, Internet web page, "http://thewhitewhale.com/babycare.htm", viewed and printed February 28, 2002, 1 page.
EW	A23	Barbero, G.J. et al., "Stool Trypsin and Chymotrypsin," <u>American Journal of Diseases of Children</u> , Vol. 112, July through December, 1966, pp. 536-540.
EW	A24	Barry, B.W. and A.J. Grace, "Investigation of Semisolid Lipophilic Preparations by Small Strain and Continuous Shear Viscometry and Their Application to Texture Profile," <u>Journal of Pharmaceutical Sciences</u> , Vol. 60, No. 6, June 1971, pp. 814-820.
EW	A25	Boylan, James C., "Rheological Study of Selected Pharmaceutical Semisolids," <u>Journal of Pharmaceutical Sciences</u> , Vol. 55, No. 7, July 1966, pp. 710-715.
EW	A26	Braudo, E.E. et al., "The Effect Produced by the Green Tea Components and Tannin on the Fermentative Activity of Trypsin in Vitro," <u>Vopr Pitan</u> , Vol. 27, Issue No. 6, November-December 1968, pp. 40-44. (Russian w/English summary)
EW	A27	Bremecker, K.D. et al., "Novel Concept for a Mucosal Adhesive Ointment," <u>Journal of Pharmaceutical Sciences</u> , Vol. 73, No. 4, April 1984, pp. 548-552.
EW	A28	Davies, Owen L. and Peter L. Goldsmith, editors, <u>Statistical Methods in Research and Production</u> , Fourth Revised Edition, published by Longman Inc., New York, 1984, pg. 460.
EW	A29	Davis, S.S. et al., "Some Limitations of Continuous Shear Methods for the Study of Pharmaceutical Semi-Solids," <u>Journal of Pharmacy and Pharmacology</u> , Vol. 20, Supplemental Issue, December 1968, pp.157S-167S.
EW	A30	Drechsler, Lee Ellen et al., "The Wipe: A Carrier of Skin Benefits," <u>Cosmetics & Toiletries</u> , Vol. 116, No. 10, October 2001, pp. 33-36, 38, 40, 42.

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Examiner: *Jamison Webb*

Date Considered: *9/26/02*



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	A31	Eccleston, G.M. et al., "Correlation of Viscoelastic Functions for Pharmaceutical Semisolids: Comparison of Creep and Oscillatory Tests for Oil-in-Water Creams Stabilized by Mixed Emulsifiers," <u>Journal of Pharmaceutical Sciences</u> , Vol. 62, No. 12, December 1973, 1954-1961.
	A32	Eccleston, G.M., "Structure and Rheology of Cetomacrogol Creams: The Influence of Alcohol Chain Length and Homologue Composition," <u>Journal of Pharmacy and Pharmacology</u> , Vol. 29, No. 3, March 1977, pp. 157-162.
	A33	Eros, I. and A. Thaleb, "Rheological Studies of Creams: I. Rheological Functions and Structure of Creams," <u>Acta Pharmaceutica Hungarica</u> , Vol. 64, No. 3, May 1994, pp. 101-103.
	A34	Fuhrer, C., "Gel Structure of Fatty Alcohols in Ointment Bases," <u>Pharmazie</u> , Vol. 26, No. 1, January 1971, pp. 43-5. (German)
	A35	Haverback, Bernard J. et al., "Measurement of Trypsin and Chymotrypsin in Stool: A Diagnostic Test for Pancreatic Exocrine Insufficiency," <u>Gastroenterology</u> , Vol. 44, 1963, pp. 588-597.
	A36	Huttenrauch, R., "Activation Energies in Plastic Deformation of Ointment Gels," <u>Pharmazie</u> , Vol. 28, No. 4, April 1973, 244-249. (German)
	A37	Kedzierewicz, F. et al., "Preparation of Silicone Microspheres by Emulsion Polymerization: Application to the Encapsulation of a Hydrophilic Drug," <u>Journal of Microencapsulation</u> , Vol. 15, No. 2, March-April 1998, pp. 227-236.
	A38	Muguet, V. et al., "Formulation of Shear Rate Sensitive Multiple Emulsions," <u>Journal of Controlled Release</u> , Vol. 70, No. 1-2, January 29, 2001, pp. 37-49.
	A39	Odio, Mauricio R. et al., "Continuous Topical Administration of a Petrolatum Formulation by a Novel Disposable Diaper. 1. Effect on Skin Surface Microtopography," <u>Dermatology</u> , 2000; 200(3):232-237.
	A40	Odio, Mauricio R. et al., "Continuous Topical Administration of a Petrolatum Formulation by a Novel Disposable Diaper. 2. Effect on Skin Condition," <u>Dermatology</u> , 2000; 200(3):238-243.
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9-26-02

**List of Patents and Publications for Information Disclosure Statement**

Applicant(s): David J. Tyrrell et al.
Serial No.: 09/746,888
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Docket No.: 16,497
Group: 3761
Examiner: J. Webb

Other Documents		
Initials		Author, Title, Date, Pages, etc.
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	A44	Taleb, A. and I. Eros, "Rheological Studies of Creams. II. Effect of Water Content on Rheological Characteristics," <u>Acta Pharmaceutica Hungarica</u> , Vol. 66, No. 2, March 1996, pp. 71-76.
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